

# Commercializing Scientific Research and development

# Successful Institutional Structures

**INT MARKT 46978** 

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### Session I - II - III

- I: Commercializing Scientific Research and development Successful Institutional Structures
- II: Commercializing Scientific Research and development Legislation, Contracts, Royalty rates
- III: Training systems for Commercializing scientific research and developments (CSRD). IP awareness Supporting the technology transfer



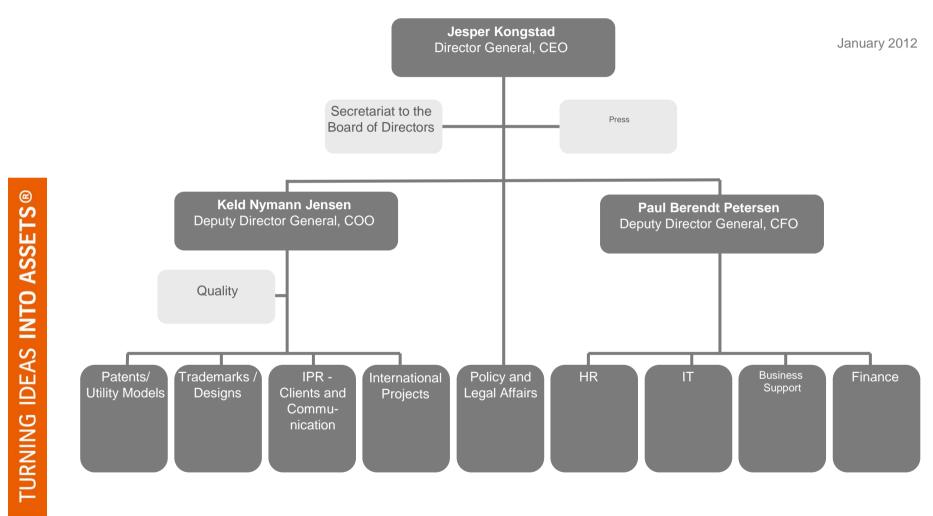
# **Agenda**

- Denmark and The Danish Patent and Trademark Office
- Legislation
- Results and statistics
- Two Examples

# DANISH PATENT AND TRADEMARK OFFICE







Source: http://maps.google.dk

Kirovohrad

Cherkasy

Україна ...

(Ukraine)-

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Moldov

Satellit Trafik

Novozybkov

Chemihiv



# Act on Inventions at Public Research Institutions

- Before January 1, 2000
  - Act on Employee's Inventions, (did not cover scientific and teaching personnel at the universities)
- After January 1, 2000 (Law no. 347 of June 2nd 1999)
  - Act on Inventions at Public Research Institutions, (apply for all personnel employed at the Universities, hospitals and Governmental Research Institutions)



# Act on Inventions at Public Research Institutions

#### Purpose of the Act (§1):

 To ensure that research results produced by means of public funds shall be utilized for the Danish society through commercial exploitation.



# Notification and evaluation (§§10-11)

#### Notification:

The employee shall notify the instituion of inventions made as part of his/her work without undue delay

#### 2-months evaluation period:

Within 2- months of the notification, the institution shall evaluate its possibility of commercial exploitation.

The employee is not entitled to publish the invention during this 2-months period.

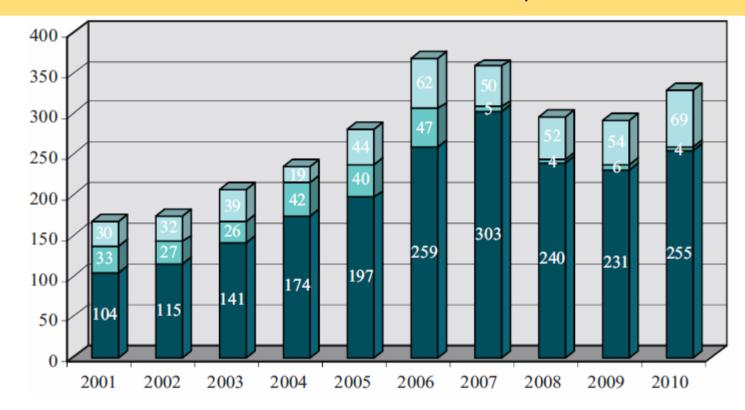


### **Scope of the university**

- According to the Danish Act on Universities, the universities are obligated to teach, carry on research, and provide the research results to the Danish community
- From Act on Invention at Public Research Institutions an obligation is to promote commercial exploitation of the invention made at the universities
- EU-rules regulates and restricts public funding and unfair competition



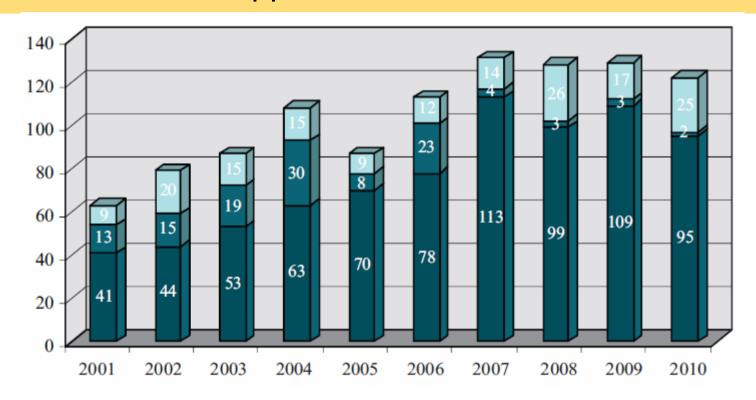
## Invention disclosures received, 2001 - 2010



■ Universities ■ Government Research Institutes ■ Reasearch Hospitals



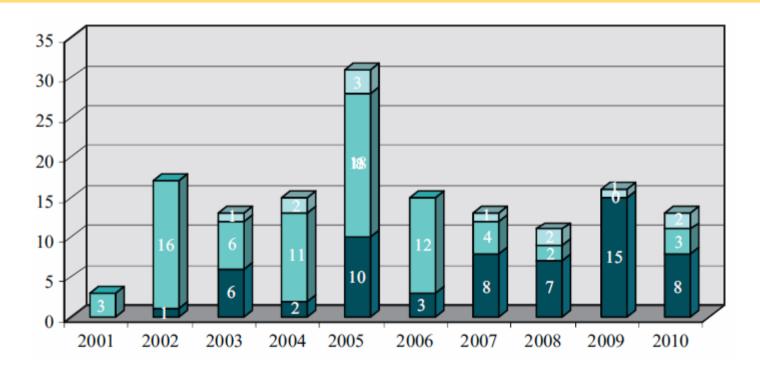
# Patent applications filed, 2001 - 2010



■ Universities ■ Government Research Institutes ■ Reasearch Hospitals



## Patents issued, 2001 - 2010

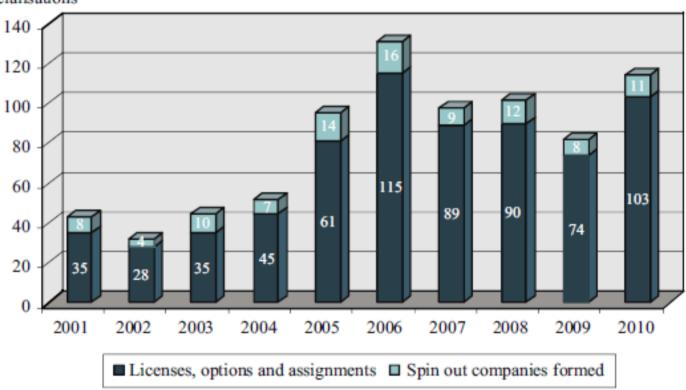


■ Universities ■ Government Research Institutes ■ Reasearch Hospitals



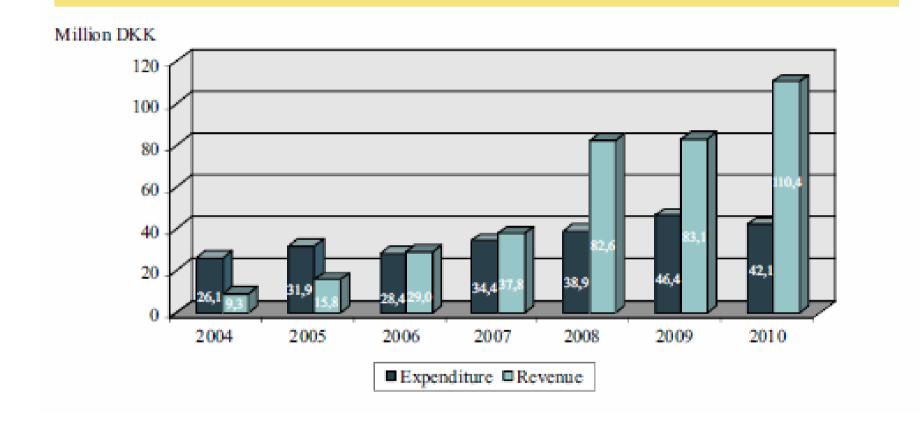
#### Commercialisation performance, 2001-2010

#### Number of commercialisations





#### Commercialisation revenues and expenditure, 2004-2010

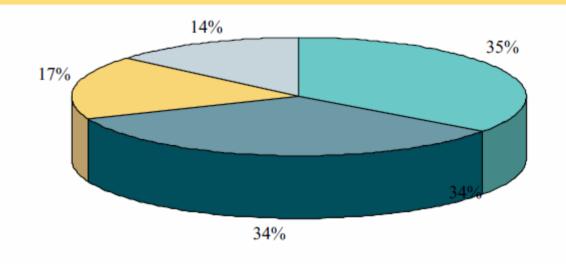




Summary	Universities	Government Research Institutes	Research Hospitals	Total 2010	Total 2009
Invention disclosures received	255	4	69	328	291
Patent applications filed	95	2	25	122	129
Patents issued	8	3	2	13	16
Licenses, options and assign- ments executed (incl. software)	96	0	7	103	74
Total license portfolio (excl. software)	107	3	17	127	107
Spin-out companies formed	10	0	1	11	8
Technology transfer staff (full-time equivalent)	50.5	2.1	15.2	67.8	66.2
Commercialisation costs (DKK million)	30.6	4.0	7.5	42.1	46.4
License income received (DKK million)	42.8	64.7	2.8	110.4	83.1
Research Agreements with private enterprises	2,020	7	710	2,737	-



# Agreement distribution - IPR agreements, 2010



- Patents sold
- Patent licenses sold
- Software-licenses
- Options agreements

	Patent portfolio (2010)			companies of which shares or options	research agreement s with private companies (2010)	research agreement s with public commitee	Number of research agreement s with public institution s (2010)
Universieties:							
Aalborg University	4	9	4	12	334	57	415
Aarhus University	5	21	7	6	282	49	88
Technical University of Denmark	77	10	7	13	277	525	220
IT University of Copenhagen	1	0	0	0	3	4	3
Copenhagen University	5	58	15	2	180	126	143
Roskilde University	0	1	1	0	51	21	68
University of Southern Denmark	0	8	3	6	56	20	34
Copenhagen Business School	0	0	0	0	10	25	23
Government Research Institutes:							
State Serum Institute	13	3	3	0	7	0	9
Geological Survey of Denmark and Greenland	2	0	0	0	0	0	0
Hospital Regions:							
Aalborg Hospital	0		0				
Odense University Hospital	0	_		_			<u> </u>
Tectra (The Capital)	12	16	6	5	438	28	
Region Central Jutland	0	0	0	1	149	2	27
Total	119	127	46	45	1879	858	1057

	Gross Income - Commersialization – 2010 (in 1.000 DKR.)							
Universities		Licenses - software	Patents sold	Software sold	Spin off company shares sold	IPR	Dividens from owned shares	Income Commersi alization Total
Universieties:								
Aalborg University	0	0	411	1936	0	628	C	2975
Aarhus University	2357	0	0	О	0	1290	16	3663
Technical University of Denmark	3090	9677	495	О	17625	321	. c	31208
IT University of Copenhagen	0	0	0	O	0	O	0	0
Copenhagen University	1224	0	50	О	0	3789	C	5063
Roskilde University	350	0	0	C	0	O	0	350
University of Southern Denmark	102	0	0	C	0	75	38	215
Copenhagen Business School	-	-	_	-	_	-		0
Government Research Institutes:								0
State Serum Institute	54850	0	9900	C	0	C	O	64750
Geological Survey of Denmark and Greenland	0	0	0	O	0	O	) c	0
Hospital Regions:								0
Aalborg Hospital	0	0	0	O	0	O	O	0
Odense University Hospital	0	0	0	С	0	C	C	O
Tectra (The Capital)	2617	0	0	С	0	15	C	2632
Region Central Jutland	173	0	0	О	0	О	16	189
								0
Total	64763	9677	10856	1936	17625	6118	70	111045

Source: Ministry of sience, technology and development, DK, 11/2011



		Licenses Port folio ultimo 2010		
	Patent portfolio (2010)		of which generated income in 2010	
Technical University of Denmark	77	10	7	
State Serum Institute	13	3	3	

	Gross Income - Commersialization – 2010 (1.000 DKR)						
	Lincenses (patents)	Licenses - software		Software	Spin off company shares sold	Income Commersialization Total	
Technical University of							
Denmark	3.090	9677	495	0	17.625	31.208	
Statens Seruminstitut							
(State Serum Institute)	54.850	0	9.900	0	0	64.750	

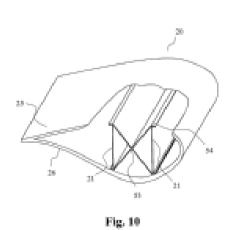




### REINFORCED BLADE FOR WIND TURBINE

Publication no.: WO/2008/089765

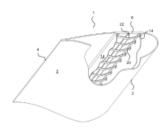
Applicant: **DANMARKS TEKNISKE UNIVERSITET** [DK/DK]; Anker Engelundsvej 1, Bygning 101A, DK-2800 Kgs. Lyngby (DK) (For All Designated States Except US). **JENSEN, Find, Mølholt** [DK/DK]; (DK) (For US Only). **NIELSEN, Per, Hørlyk** [DK/DK]; (DK) (For US Only)

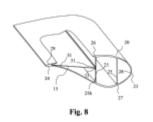




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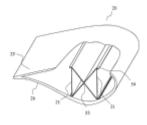


Fig. 10

WO2008/071195: REINFORCED AERODYNAMIC PROFILE

PCT/EP2010/068521: REINFORCED AIRFOIL SHAPED BODY

WO2008/086805: REINFORCED BLADE FOR WIND TURBINE

WO2008/089765: REINFORCED BLADE FOR WIND TURBINE

WO2009/155921: A WIND TURBINE BLADE WITH ANGLED GIRDERS

WO2008/092451: WIND TURBINE BLADE

WO2009/0155920: A REINFORCED WIND TURBINE BLADE

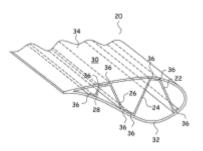
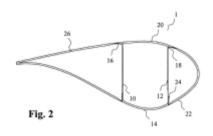


Fig. 4



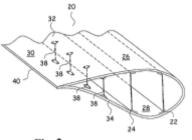
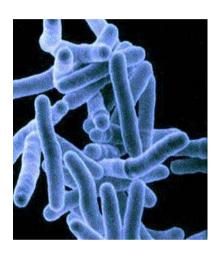


Fig. 2











### Tasks for the Institutions

- What is the commercial potential
- What to protect
- What is the best protection (Patent/Secrecy)